



# Introduction to PSP & TSP

Steve Janiszewski Director Six Sigma Software Honeywell International March 21, 2001

#### **Systems & Software Six Sigma Charter**

- Improve the quality and reduce cost and cycle time of systems and software development, freeing up resources and enabling growth
  - Monitor, identify, pilot emerging systems & software process technologies
  - Deploy proven processes and tools throughout Honeywell
  - Drive alignment of Six Sigma, PSP/TSP, and CMM processes
  - Provide enhanced Green Belt and Black Belt learning program
  - Provide CMM based process assessment to Honeywell sites
- Offer Software 6σ services to external customers and suppliers
  - Establish external revenue stream to offset cost of Honeywell SPI
- Establish Honeywell as the leader in high quality systems engineering & software development

...Create value and "accelerate the growth imperative" in the Honeywell business environment

Page Number- 2

Honeywell

#### **Software Process Improvement Vision**

- SPI is driven top down by business goals
- Each site can objectively measure SPI's contribution to business goals
- There is a published SPI plan at each site
  - Baselines cost structure and quality levels
  - Identifies targeted process improvements
  - Calculates ROI
- Each site tracks SPI deployment and ROI and manages to target
- Measurable benefits are achieved and provide the basis for a sustainable continuous improvement culture
- Sites achieve quantifiable annual productivity improvements in the 5% - 15% range and set their own SEI level goals each year moving through a progression of levels at an appropriate pace culminating in a sustainable six sigma process at SEI level 5

## Six Sigma SW Products & Services

- Enablers
  - Executive and management seminars, program management training tie-ins
  - One-On-One management meetings
  - SPI workshops, ROI model, mentoring, CMM assessments
  - SW scorecard tracking and reporting
  - Red program reviews
- Technologies
  - Requirements Management (training)
  - Appraisals & Defect Prevention (training, automation)
  - Design (training)
  - Software Project Management (training)
  - PSP, TSP,  $6\sigma$  for SW (training, launches, coaching & automation)

#### **Critical Software Business Needs**

- Software-dependent businesses have three critical needs
  - Better cost and schedule management
  - Better quality management
    - When poor quality software is allowed into test, finding and fixing defects is
      - nearly half of development costs
      - uncontrolled
      - largely unpredictable
  - Cycle time improvement
- All businesses are becoming software businesses
  - Software costs and schedules dominate many business plans
  - Software quality limits our ability to field many critical systems
- In order to meet these needs, one cannot simply try harder

One definition of insanity: doing the same thing over and over and expecting a different result

#### **Something different - A control system viewpoint**

• The outputs of a system, y are usually a function, f, of a set of control variables, x.

 $y = f(x) + \varepsilon$ 

- The y's cannot be controlled directly, only by modifying the x's. Statistical measurements are necessary to avoid re-acting to the noise  $\epsilon$
- For a software project, y is typically cost and schedule and x is product quality and hours on task.
  - Cost and schedule cannot be directly controlled.
  - It is possible to indirectly manage cost and schedule to overall project goals by continuously managing product quality and time on task to appropriate intermediate goals
- Ideally we would like software process that acts like a responsive, "closed loop" control system driving project performance to target

PSP enlists each engineer in pro-active product quality management

#### **SEI & the Capability Maturity Model**

- The SEI Process Program was created in 1986 to improve the practice of software engineering by improving the software engineering process.
- History
  - Process Maturity Framework 1987
  - Software Process Assessment 1987
  - DoD Software Capability Evaluation 1987
  - SEI Capability Maturity Model 1991
  - Personal Software Process 1995
  - Team Software Process 1996



## CMM, PSP, & TSP

#### **Capability Maturity Model (CMM)**

- The CMM is a conceptual framework based on state-of-the-art software engineering practices that help software organizations to
  - characterize the maturity of their processes
  - establish goals for process improvement
  - set priorities for immediate action
  - envision a culture of software engineering excellence

#### **The Personal Software Process (PSP)**

- The PSP is a level 5 process designed for cost effective individual use.
- It applies to most structured personal tasks.
  - developing program modules
  - defining requirements or processes
  - conducting reviews or tests
  - writing documentation, etc.
- PSP augmented TSP can support the development of large-scale software systems
- It can be used to accelerate an organization from level 2 to level 5

#### **Team Software Process (TSP)**

- The TSP adds a project management layer to the PSP
- It address performing software development and maintenance using high performance interdisciplinary work teams
- It is a level 5 process for managing project teams of 5-10 engineers
- It can be extended to larger projects using TSP multi-team

#### PSP, TSP, & CMM



#### **PSP, TSP, and CMM Compliance**

Level	Focus	Key Process Areas (KPA)
5 Optimizing	Continuous process improvement	<ul> <li>√ Defect prevention</li> <li>√ Technology change management</li> <li>√ Process change management</li> </ul>
4 Managed	Product and process quality	$\sqrt{\rm Quantitative}$ process management $\sqrt{\rm Software}$ quality management
3 Defined	Engineering process	<ul> <li>√ Organization process focus</li> <li>√ Organization process definition</li> <li>Training program</li> <li>√ Integrated software management</li> <li>√ Software product engineering</li> <li>★ Intergroup coordination</li> <li>√ Peer reviews</li> </ul>
2 Repeatable	Project management	Requirements management √ Software project planning √ Software project tracking Software quality assurance Software configuration management Software subcontract management

 $\sqrt{}$  indicates the CMM KPAs that are addressed at the personal level by PSP and at the team level by TSP  $\star$  indicates the CMM KPAs that are addressed by TSP

Honeywell

#### **The PSP Process Flow**



- PSP training address implementation only
- The PSP process is applicable to analysis, architectural design, integration & test, documentation production, etc. by substituting different development activities, changing size metric, modifying estimating algorithm

#### **PSP Metrics**

- There only three basic metrics in PSP.
  - effort, measured in minutes
  - defects found in the product
    - Fix time, type, injection phase, removal phase, description
  - product size, measured in lines of code (LOC)
- At Honeywell, data on these measures are recorded inprocess using an automated database
- Data recording overhead is exceptionally low typically less than 5 minutes per day
- Engineers are provided with real-time data analysis for decision support in the course of doing their task and performing a task post-mortem

Data must be regularly used by the person collecting it. Otherwise data collection will stop!

#### **PSP: A Closed Loop Process**



#### **The PSP Estimating Strategy**

- Individual engineers
  - estimate their tasks to a granularity of several days
  - base these estimates on their own data
  - calculate prediction intervals for their individual estimates
  - combine individual estimates into project estimates and prediction intervals into a project level prediction interval
- This results in
  - more accurate estimates
    - estimating algorithms require less historical data and are more accurate when calibrated to an individual rather than a group individual data frequently correlates when group data doesn't
    - combining estimates from multiple sources tends to average out estimating bias
    - 1 $\sigma$  prediction intervals RSS when they are combined yielding a  $\sqrt{n}$  improvement relative to a single estimate
  - engineers who are more committed to the estimates

#### **Task Granularity**

- PSP works with highly granular tasks typically each engineer completes an average of two per week
- During planning, high task granularity yields better estimates
  - For a 1000-hour job,
    - if estimating accuracy is + or 50%
    - the estimate range is from 500 to 1500 hours
  - In 25 parts, each with 50% error,
    - the total would be 1000 hours, as before
    - the estimate range is between 900 and 1100 hours
- During tracking, high granularity allows better trend analysis and dynamic load balancing
- Finally, high granularity yields good statistical data in a short time and provides rapid quantitative feedback for process improvement

## **The PROBE Estimating Method**



Estimated Object LOC vs. Actual Minutes



•

•

- To estimate resources, PROBE uses the historical relationship between estimated object LOC and actual resources
  - The prediction interval (PI) is the range around the estimate within which the actual result is likely to fall.
  - The PI is computed using a 70% likelihood (probability).

#### **Grouping Data Can Destroy Correlation**



## **PSP Design**

Object Specification	Internal	External
Static	Logic specification	Functional specification
Dynamic	State specification	Operational scenario

- PSP includes a separate design phase that produces its own work products and imposes standards for design content
- Distinct design work products
  - foster identification of exception processing prior to writing code
  - Help eliminate redundant code
  - allow systematic orthogonality and completeness checks
  - can be inspected for high risk defects prior to writing code
- Design is viewed as a defect prevention activity

Honeywell

## **Functional Specification**

Counter	valueOf Return n	valueOf :: Return n	
-n : int	Increment $n = n + 1$	Increment n = max ·· Return II	
+valueOf() : int	Clear	n < max :: n = n + 1	
+increment() +clear()	:: n = 0	Clear   :: n = 0	

Now?

How about now?

# Adequate to code?

Honeywell

#### **Defect Removal Activities as Filters**



## Testing



- Finding defects with testing takes time and is expensive.
- Even many simple programs cannot be exhaustively tested. The input domain is only sampled over a relatively small area.
- The number defects removed in test from the sampled area is a predictor for defect density associated with the remainder of the input domain.
- Testing is like clearing a path through a mine field: travelers are only safe on the cleared pathways.
- Disciplined engineers use reviews and inspections to clear the entire mine field.
- Test data provides real time feedback on review effectiveness and a source of personalized checklist data
- High defect density in test is an indicator that there are process problems



#### Inspections

- Peer inspections are not part of PSP but are normally include in TSP
- Personal reviews are done prior to team inspections
  - less "noise" to distract reviewers
  - reviewers can't fill their quota with easy defects
  - reviewers focus on requirements and interface defects
  - inspection teams tend to be small and are composed of immediate customers for the product
  - checklist should be orthogonal to personal reviews
- Inspection teams are kept small and consist of reviewers that are "customers" for the product
- Inspections are frequent



#### **Personal Defect Management**

- The most economical and effective time to remove defects is when they are injected.
  - The engineers will best recognize them.
  - They will understand what the program was supposed to do.
  - They are most likely to make a correct fix.
- The minimum fix time is when engineers
  - fix their own defects
  - make the fix shortly after the defect was injected
- Checklist based reviews and inspections are effective because defects injected by a particular person tend to be repetitive
- An unexpectedly high defect density in compile or test indicates and ineffective review product should be re-reviewed prior to continuing with compilation or test.

#### **Quality Management**

#### **Fundamentals**

- Integration and system test rework cost 30%-50%
- Defects cost 10x 15x to correct later in the life cycle
- 80% of defects occur in 20% of the work products
- Defect removal yields are approx. constant for a given, appraisal process
- Reduce integration re-work by preventing bad product from moving forward

#### **Strategies**

- Goal: > 95% yield prior to integration and overall performance improving 15% - 20%
- Technique:
  - distinct design / code activities
  - bench checks of design and code prior to compilation
  - checklist based peer review redundancy control
  - quality indicators as exit criteria
  - re-inspect instead of fix on the fly when module has high defect rate
  - scrap the worst code

#### **Indicators**

- Design time >= coding time
- Design review time > 50% design time (matches injection and removal rates)
- Code review time > 50% coding time (matches injection and removal rates)
- Compilation defects < 10/KSLOC (makes probability of secondary injection low)</li>
- Unit test defects < 5/KSLOC (makes probability of secondary injection low)</li>
- Quality Factor > 0.5 correlates with defect free code during integration based on limited SEI data set

Honeywell

## **Quality Management Plan**

Activity				
Planning	Development	Appraisal	Postmortem	
(entry criteria)	(defect injection)	(defect femoval)	(exit criteria)	

	Defects	New	Phase	Defects	Defects
	leaked from	Defects	Yield	Contained	Leaked
	prev pnase	Injected			
Design	0.00	40	0%	0	40
Personal Design Review	40.00	0	70%	28.0	12.0
Team Design Inspection	12.00	0	70%	8.4	3.6
Code	3.60	60	0%	0.0	63.6
Personal Code Review	63.60	0	70%	44.5	19.1
Compile	19.08	0	50%	9.5	9.5
Team Code Inspection	9.54	0	70%	6.7	2.9
Unit Test	2.86	0	50%	1.4	1.4
Integration Test	1.43	0	50%	0.7	0.7
System Test	0.72	0	50%	0.4	0.4
CUSTOMER	0.36				

Honeywell

#### TSP

- Team oriented approach to project planning and tracking
- Launch meeting kicks off a project
  - Three to four day process
  - Team building experience
  - Each day, the team works until the day's agenda is complete
  - Generates top-level program plan and detailed plan covering the next three months
  - Data driven, emphasizes individual ownership, focuses on attaining overarching business goals
  - Detailed plan features 0-100 milestones with 2 /person/week granularity that provides EV tracking with extraordinary fidelity
  - Everyone comes out with a clear understanding of roles, responsibilities, and tasks for the next three months
  - Detailed quality plan that projects defects injected and removed by phase, establishes phase exit criteria, defines corrective action plans
- Structured weekly status meeting are used to manage the project
  - Each person brief performance relative plan, risk status, action item status, role related activities etc.
  - Lead briefs overall status, sets goals for next week
  - Lead pro-actively manages to plan
- Quarterly re-launches create new detailed plans
  - Due to extraordinary high level of task granularity, detailed planning is only done one quarter out

#### Launch Agenda



\*Team assigns roles. Each role is a focal point for a "management" activity that would ordinarily be performed by the group lead. Distributes responsibility and avoids bottlenecks

Honeywell

#### Will this activity complete on time?



We can't tell due to the relatively coarse plan granularity!

Honeywell

#### **Can we tell now?**



Can we tell why there is no progress?

Honeywell

#### Why are we behind plan?





#### Why are we behind plan?



#### Why are we behind plan?





Five people working for 4 days will generate a far higher fidelity plan than one person working alone for 20 days. They will do it faster than single person. They will own it. They will use it.



#### **Experience – Teterboro**



Page Number- 33

#### **Experience to Date**

- Technical Training
  - 130 executives
  - 225 managers
  - 250 engineers
- 12 organizations (Honeywell & external)
- 21 launches
- 2 management launches
- 10 pilots (in progress or scheduled)
- Change Agent Training
  - Change management
  - Personal skills
  - Consulting skills



#### **Large Avionics Project Pilot Data**

- Introduced on last cycle of embedded avionics program
  - Software staff approximately 30
  - Program has a history of missed commitments
  - About half complete at the time
- PSP used for the last build cycle
  - Overall estimating accuracy 7% low (27 weeks planned vs. 29 weeks actual)
  - Reduction in defect escapes into integration & test over pervious cycle > 4x
- Other data
  - Attrition rate 3% vs. site average 15%
  - Program manager stated: "I never missed a significant milestone once PSP was deployed."

#### Flight Control PSP/TSP Pilots

- Two small scale PSP/TSP pilot programs involving flight control upgrades
- Started in July 00, currently in progress.
- PSP/TSP process applicable to software and systems engineering activities
- Initial results
  - 4500 new and modified lines of code complete and delivered
  - Under-ran initial estimate by 20%
- System Test engineers on the project state that they are spending their time confirming functionality rather than tracking down bugs, compared to projects prior to PSP introduction
  - Insufficient data from past projects to quantify savings
- Increased time on task per week
  - From 8 to 13 hour per week, a 1.5x improvement
- Reduced cost of quality
  - From 30% to 20% of total task time, a 33% improvement
- Increased productivity
  - 2.0x improvement
- Training time already recovered

#### **Brokering Platform**

- Part of large Java based system developed by a financial services company
- Worked jointly with SEI to pilot PSP and TSP multi-team
- Delivered 1 month, (12.5%) late on an 8 month schedule
- 9000 new and modified lines of code delivered
- Team achieved zero defects in certification



#### **Pilot Task Hours Run Chart**



Average Task Hours Per Week

- Run charts used for task time management and EV analysis
- Initially averaging less than 10 task hours/week
- Shifted to 15.1 task hours/week (due to quiet times, better documentation, fewer and more efficient meetings, etc.)
- Eventually reached 18 task hours/week a direct productivity improvement

#### **PSP Training**

- The PSP is introduced in seven upwardly- compatible steps.
- Engineers write one or two small programs at each step, 10 in all.
- They gather and analyze data on their work.
- They use these data and analyses to improve their work.
- Engineers will have practiced the key elements of a level 5 industrial process.
- Engineers will understand which methods are most effective for them.
- They will do better work.
- They will have long-term improvement goals.



Page Number- 39

Honeywell

# **PSP Training Data**



- Teterboro training data, PSP 1.x is a level 2 process, PSP 2.x is a level 3-5 process
- Avg. COQ remains flat, variability drops by a factor of 3 increasing predictability
- Avg. defect fix time decreases by 40% per defect due to extensive use of reviews
- Test defects drop by factor of 2, quality of product entering integration at least doubles, resulting in expected integration improvement of 50%

Honeywell

# **Quality Is Free**

- Improved predictability: Average COQ remains flat, but variability drops by a factor of 3
- Total defect density stable: 100 defects/KLOC
- Since test defect density drops by a factor of 2, quality of product entering integration at least doubles, resulting in an expected decrease of integration effort by 50%
- Decrease in average fix time (from 8.9 to 5.4 minutes per defect) due to extensive use of reviews
- Linear correlation between appraisal time and decrease in failure time
- No correlation of increased appraisal time with productivity





#### **Design Is Also Free**





- No significant correlation between productivity and fraction of time spent in design
  - producing formal designs does not lower productivity
- There is a correlation between increase in design time and decrease in code & test time
  - each 1% increase in design time correlating with a 1.19% decrease in the time spent in code & test
- This indicates a direct decrease in coding time when a more complete design is available coupled with a decrease in test time owing to a better review process based on distinct design artifacts

#### **Defect Statistics**



Phase	Avg Fix Time	Max Fix Time
Architecture review	2	2
Design	2.50	5
Design review	3.39	31
Code	3.50	19
Code review	1.97	20
Compile	2.84	147
Test	13.33	185
Escapes	8.25	45

- Defects are statistically predictable
- Reviews are highly leveraged relative to unit test and even have some leverage relative to compilation in a typical PCbased visual environment

Honeywell

#### **PSP Leakage Matrix**

	Design Review		Code Review		Compile		Test	
	1a-6a	7a-10a	1a-6a	7a-10a	1a-6a	7a-10a	1a-6a	7a-10a
Design		2-80-6					6-80-10.2	2-80-11.0
Code				2-20-1.0	3-20-2.0	1-20-1.0	2-10-5.5	1-40-1
				7-40-1.1	4-40-1.25	4-40-2.2	1-20-15.0	
				<b>2-50-1</b>	3-50-1.67		2-50-32.5	
				1-80-3			6-80-13.2	
Compile						1-100-2		
Test							1-20-1.0	
							1-80-5.0	
							2-100-5	

- Cell entries give number of defects-type-average fix time, so that 2-80-6 means 2 type 80's at an average fix time of 6 min each
- Introduction of design reviews reduced the number of design defects caught in test by 50% and decreased their average fix time by about 5 min
- Introduction of code reviews did not change errors removed in compilation significantly, although it did eliminate the type 50 class.

Honeywell

#### **PSP Yield Management**



- Personal yield curves can be developed quickly from the first few weeks of data and used to manage appraisal processes
- If the inspection rates go too high, the reviews are not worth doing, to low and their cost can exceed testing
- Similar results apply to group inspections

Honeywell

#### **Getting Started with PSP**

- Understanding the cost/benefit relationships
- Building sponsorship
- Selecting a pilot project
- Automation
- Training the engineers
- The TSP launch
- Support structure



#### **PSP/TSP Objectives**

- Pilot Program Objectives:
  - Run 6 PSP/TSP Pilots over 18-24 Months
  - Reduce integration and test defects by 30%;
  - Increase weekly hours on task by 20%
  - Break even on a 12 month project
- Institutionalization Objectives:
  - Institutionalize within 4 years after pilot phase
  - Fully exploit design for  $6\sigma$  techniques
  - Reduce integration and test defects by 50%; Increase weekly hours on task by 50%
  - Permanently reduce software development cost by 25% 40%

#### **PSP/TSP Cost Benefit Summary**

	<u>Pilot</u>	<b>Institutionalization</b>
Baseline project cost	\$980K	\$980K
PSP/TSP deployment cost	\$202K	\$18K
PSP/TSP savings	\$249K	\$249K – \$442K
Quality – integration & test	\$103K	\$103K – \$172K
Schedule – task hours	\$146K	\$146K – \$290K
Net Cost with PSP/TSP	\$933K	\$556K – \$749K
Savings	\$47K	\$231K - \$424K
Run rate improvement	5%	23% - 43%

Post-institutional savings range goes from a low-end comparable to pilot project to a high-end that assumes a greater level of process maturity and use of Six Sigma methods that might not be possible with a less capable process

#### **Projected Organizational PSP/TSP Savings**



- 25% to 40% cost reduction at full institutionalization
- annual cost avoidance of \$50 to \$90M

...the power of quality on the scale of Honeywell's workforce

Honeywell

## **Building Sponsorship**

- Identify a local champion
  - typically someone active in software development or process improvement that has been exposed to PSP/TSP at a conference
  - needs to have a position of influence in the organization
  - needs to be interested in trying it out
- Establish linkage of PSP/TSP to organizational initiatives
- Provide senior management with "PSP Executive Seminar" training
- Provide "Managing PSP-trained Engineers" training to first and second line supervisors and managers
  - a 2-day version of the course to make it accessible to managers with busy schedules
  - "PSP Executive Seminar" does not provide adequate technical depth for this management group
  - Instructors with first-hand PSP/TSP experience provide credibility for managers and executives
- Solicit volunteers for pilot projects and perform cost/benefit analyses

## **Selecting a Pilot Project**

- Lower maturity level organizations can implement PSP.
  - need basic CM and QA in place
  - desirable to have a documented process capability baseline
- Pilot project should break even within one year.
- The first line supervisor "sells" PSP to the pilot team.
- A PSP-trained team mentor should be selected from outside the project.
- In selecting the initial projects, try to pick ones that are not in perpetual crisis.
- In selecting the initial team, try to pick members who are openminded.

## **Training the Engineers**

- Conduct a change management workshop with first line supervisors
- Brief the engineers on the PSP/TSP process and the reasons for selecting the pilot
- Provide just-in-time training prior to project start
  - One week on, two weeks off, one week on
  - Dedicated training facility; preferably offsite
  - Each student is provided with a laptop computer
  - Automated tool use during training to facilitate data capture and analysis
  - Entire team is trained together
- First line supervisor and mentor trained with the team *after* completing the "Managing PSP-trained Engineers" class
- Reward developers for taking the training and for completing the homework assignments

#### Automation

- Robust automation essential for metrics collection and analysis when using PSP/TSP
- Provided by ISPE, a multi-user client-server database application that includes support for:
  - scheduling meetings and inspections and maintaining meeting and inspection records
  - logging and tracking the status of action items
  - risk management
  - problem reports
  - TSP launch planning and tracking
  - Quality Plans
  - Earned Value
  - TSP status meetings
  - PSP time and defect logging
  - estimation (PROBE+)
  - comprehensive automated metrics collection and analysis
- Provides dynamic views for real time data filtering and aggregation
- Low data collection overhead 12 sec for a time log entry, 30 for a defect log entry, several minutes to produce a typical estimate
- Data privacy and security

#### **Support Structure**

- Senior management support
  - Vertical alignment of goals is essential. Each level of the organization must see a clear benefit from practicing the new process
  - Sustained demonstration of management interest is essential regularly ask for PSP team metrics
- Team mentor
  - Follow-up mentoring is essential.
    - It is much easier to get the team to collect data than to use it effectively
    - The mentor needs ensure consistency and to help team members with data analysis during post-mortem
  - Teams take 3 6 months to become comfortable with applying the full process on a real project
- Rewards and recognition
  - reward pilot team for taking the class and for completing the homework assignments

#### **Lessons Learned**

- Use of TSP is essential
- Robust automation is a pre-requisite
- All team members should complete all PSP assignments prior to TSP launch
- Set uniform standards for everybody and for all work products
- Explicitly identify public and private data "up front"
- PSP principles can be applied to other lifecycle phases, provided adequate support and training are provided
- Use of statistical process control techniques is essential for effective task hour management
- Staff design skills emerged as the limiting factor in achieving plan granularity and in increasing task time

#### In Summary ...

- PSP/TSP is a real-time closed-loop level 5 process PSP kills variation by eliminating the overriding causes of variation the author and time measurement errors
  - If this source of variation is not eliminated, you will not get a stable process or usefully narrow process limits for anything except inspections
  - once the variation is removed, the software process becomes surprisingly predictable in terms of rules of thumb and "magic numbers"
- There is no trade off between quality and cost because there is a cost benefit not a cost penalty when you produce software that is approximately defect free